PUBLIC WORKS & CAPITAL PROJECTS

RESOLUTION NO. 2025035

RE: AUTHORIZING THE COUNTY EXECUTIVE TO FILE AND EXECUTE APPLICATIONS FOR 5311 GRANT FUNDS THROUGH THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION AS IT PERTAINS TO THE DEPARTMENT OF PUBLIC WORKS-DIVISION OF PUBLIC TRANSIT

Legislators METZGER, PAOLONI, D'AQUANNI, CASWELL, GORMAN, and KAUL offer the following and move its adoption:

WHEREAS, the Department of Public Works (DPW) has advised that the New York State Department of Transportation (NYS DOT) has allocated Federal funding to Dutchess County for the 2024-2025 Section 5311 Program as well as remaining Section 5311 funding for 2021-2023 and COVID funds (CRRSAA, ARPA, CARES) in the total sum of $1,206,877 for operating assistance in rural areas, and

WHEREAS, the Federal Section 5311 (Section 18) funding for 2024 is $213,500 and the Federal Section 5311 (Section 18) funding for 2025 will be $213,500 each of which is an increase of $91,500 over the initially budgeted revenue for each year of $122,000, and the required local match was included in the 2024 and 2025 budgets, and

WHEREAS, the Federal Section 5311 Coronavirus Response and Relief Supplemental Appropriations Act (CRRSSA) and American Rescue Plan Act (ARPA) funding for 2025 will be $779,877 and a local match is not required for 5311 CRRSSA and ARPA funds, and

WHEREAS, it is necessary for this Legislature to authorize the filing and execution of any applications and further documentation that may be necessary by NYS DOT to access the remaining Section 5311 funds, now therefore, be it

RESOLVED, that this Legislature hereby authorizes and empowers the County Executive or her designee to file and execute any applications and further documentation that may be necessary to access the NYS DOT Section 5311 funding as set forth above on behalf of the County of Dutchess, and be it further

APPROVED
Susan Serino
SUSAN J. SERINO
COUNTY EXECUTIVE
Date 3/17/2025

STATE OF NEW YORK
ss:
COUNTY OF DUTCHESS

This is to certify that I, the undersigned Deputy Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 10th day of March 2025, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 10th day of March 2025.

DUTCHESS COUNTY SEAL

Laura Phillips

LAURA PHILLIPS, DEPUTY CLERK OF THE LEGISLATURE

FISCAL IMPACT STATEMENT

☑ NO FISCAL IMPACT PROJECTED

APPROPRIATION RESOLUTIONS

(To be completed by requesting department)

Total Current Year Cost $ _____

Total Current Year Revenue $ 1,206,877

and Source
$213,500 2024 Federal Section 5311 (Section 18)
$213,500 2025 Federal Section 5311 (Section 18)
$779,877 2025 Federal Section 5311 (CRRSAA and ARPA)

Source of County Funds *(check one)*: ☑ Existing Appropriations, ☐ Contingency, ☐ Transfer of Existing Appropriations, ☐ Additional Appropriations, ☐ Other *(explain)*.

Identify Line Items(s):
ET.5680.45890.01 Rural Operating Section 18

Related Expenses: Amount $ _____

Nature/Reason:
The funding supports operating expenses in public transit.

Anticipated Savings to County: $1,206,877

Net County Cost (this year): _____
Over Five Years: _____

Additional Comments/Explanation:
This resolution authorizes the County Executive or her designee to file and execute the Section 5311 Funds for 2024 and 2025.
The Federal Section 5311 (Section 18) funding for 2024 is $213,500. The Federal Section 5311 (Section 18) funding for 2025 will be $213,500. The original budget for each year was $122,000. This represents an increase of $91,500 over the initially budgeted revenue for each year. Dutchess County must match these operating assistance funds 50/50, this match is included in the 2024 and 2025 budget.
The Federal 5311 (CRRSA and ARPA) funding for 2025 will be $779,877. A local match is not required for 5311 CRRSA and ARPA funds.

Prepared by: David C. Whalen DCW Prepared On: 2/4/25

SUE SERINO
COUNTY EXECUTIVE

ROBERT H. BALKIND, P.E.
COMMISSIONER

DAVID C. WHALEN
DEPUTY COMMISSIONER

DUTCHESS COUNTY GOVERNMENT
DEPARTMENT OF PUBLIC WORKS

MEMORANDUM

To: Rachel Kashimer, Deputy County Executive

From: David C. Whalen, Deputy Commissioner DCW

Date: February 4, 2025

Re: RESOLUTION REQUEST – FOR PUBLIC TRANSIT AUTHORIZING THE FILING AND EXECUTION OF SECTION 5311 FUNDS FOR FY2024-2025

This resolution authorizes the County Executive or her designee to file and execute the Section 5311 Funds allocated by NYS DOT to Dutchess County Public Transit for operating assistance in rural areas for 2024/25.

The Federal Section 5311 (Section 18) funding for 2024 is $213,500. The Federal Section 5311 (Section 18) funding for 2025 will be $213,500. The original budget for each year was $122,000. This represents an increase of $91,500 over the initially budgeted revenue for each year. Dutchess County must match these operating assistance funds 50/50, this match is included in the 2024 and 2025 budget.

The Federal 5311 (CRRSA and ARPA) funding for 2025 will be $779,877. A local match is not required for 5311 CRRSA and ARPA funds.

5311 COVID Programs (remaining funds)	Coronavirus Response and Relief Supplemental Appropriations Act (CRRSSA)	$150,000
	Coronavirus Aid, Relief, and Economic Security Act (CARES)*	$0
	American Rescue Plan Act (ARPA)	$388,377
5311 2021-23 (remaining funds)	Core Operating + Supportive Employment Services **	$241,500
	Appalachian Development	$0
5311 2024	Core Operating	$213,500
	Appalachian Development	$0
	Supportive Employment Services	$0
5311 2025 (estimated)	Core Operating	$213,500
	Appalachian Development	$0
	Supportive Employment Services	$0
	Total 5311 Funds Available	$1,206,877

Please feel free to contact me with any questions or concerns regarding this resolution request.

NEW YORK STATE | Department of Transportation

KATHY HOCHUL
Governor

MARIE THERESE DOMINGUEZ
Commissioner

JANET HO
Assistant Commissioner
Finance & Integrated Modal Services

December 2, 2024

Sue Serino, County Executive
Dutchess County
Dutchess County Office Building
22 Market Street
Poughkeepsie, New York 12601-3222

Dear County Executive Serino:

The New York State Department of Transportation (NYSDOT) is pleased to announce the funding allocations for the 2024-2025 5311 Program as well as protocols to allow recipients to access remaining 5311 funding for 2021-2023 and COVID funds (CRRSAA, ARPA, CARES).

The following is a complete list of funds available to your organization. These are the remaining awarded funds and those available to you under the new 2024-2025 application.

5311 COVID Programs (remaining funds)	Coronavirus Response and Relief Supplemental Appropriations Act (CRRSSA)	$150,000
	Coronavirus Aid, Relief, and Economic Security Act (CARES)*	$0
	American Rescue Plan Act (ARPA)	$388,377
5311 2021-23 (remaining funds)	Core Operating + Supportive Employment Services **	$241,500
	Appalachian Development	$0
5311 2024	Core Operating	$213,500
	Appalachian Development	$0
	Supportive Employment Services	$0
5311 2025 (estimated)	Core Operating	$213,500
	Appalachian Development	$0
	Supportive Employment Services	$0
	Total 5311 Funds Available	$1,206,877

**Does not include CARES 5311 funds that were transferred to 5307 urban accounts.*
***Core Operating and Supportive Employment Services included as one funding source for payments in SFS*

Remaining funds were calculated based on the original contract amount and all reported payments in the Statewide Financial System (SFS) as of October 31, 2024. SFS is New York State's book of record, so documentation must be provided if a discrepancy is identified which will be reviewed for approval by NYSDOT.

To access your remaining funds, please work with your NYSDOT PTB (Public Transportation Bureau) system specialist to submit a Payment Request Form for each fund source as soon as possible. No changes to your previous nancial reporting (ex. 17a, Annual Report) are required. An updated system specialist contact list is included in the FY24-25 application materials to reflect recent changes to PTB staff assigned to work with transit operators.

COVID Funds

Your organization continues to be eligible to access remaining COVID funds from the CRRSAA, ARPA, and/or CARES programs. These specialized 5311 programs still require an operating deficit but based upon the following – "Funds available under the CARES Act, CRRSAA, and ARPA are available for all operating activities (net fare revenues) that occur on or after January 20, 2020. [1]This definition does not require other sources, such as the Statewide Mass Transportation Operating Assistance program (STOA), to be considered for transit providers to access COVID funds.

5311 2021-2023

As COVID funds must be spent in their entirety before accessing remaining 5311 2021-23 funding, NYSDOT is extending the date for eligible reimbursements of 2021-2023 funds for all expenses occurring on or before **December 31, 2025**.

5311 FFY2024/2025

New York State 5311 2024/2025 allocations are reflective of the overall federal funding, the percentage dedicated to operating, and each recipient's portion based on their changes in ridership (passengers), amount of service provided (revenue miles), county population, and local share.

Allocations are currently estimated as FFY25 federal apportionments to the states have yet to be announced. New York State's portion of the federal 5311 program for FFY24 has been provided by the Federal Transit Administration (FTA) and NYSDOT is estimating similar amounts for FFY25. Estimated amounts provided are for planning purposes and final allocations will be updated and announced once released by the FTA.

NYSDOT has revised the application structure for 5311 funding for 2024 and 2025 which can be found at www.dot.ny.gov/divisions/policy-and-strategy/public-transportation/rural-programs/5311/application-material. The new application has been streamlined in comparison to previous submissions and only requires information needed to determine project eligibility, make awards, and establish contracts. The application will be for operating, capital, and mobility management.

5311 2021-23 funds must be completely spent before accessing new funds from the 5311 2024-25.

Surplus

Please contact your NYSDOT PTB system specialist to discuss how a surplus will be documented on your next annual financial reporting. This will not negatively impact your access to future funding programs but rather reflect fiscal responsibility of your organization and allow it to respond to continuous changes in local, state, and federal funding of public transit.

Sincerely,

Janet D. Ho

Janet Ho
Assistant Commissioner, Division of Finance & Integrated Modal Services

Enclosures via email:
5311 CARES-CRRSAA-ARPA Payment Request Form
5311 Operating Assistance Payment Request Form

[1] https://www.transit.dot.gov/frequently-asked-questions-fta-grantees-regarding-coronavirus-disease-2019-covid-19

cc: Matthew Haas– Office of Integrated Modal Services, Director
Timothy Chiplock– Office of Finance, Director
Ross Farrell– Public Transportation Bureau, Director
Ross DuBarry– Public Transportation Bureau, Service & Oversight Section
Bryan Cross– Public Transportation Bureau, Financial Support Section
Bill Telovsky– Public Transportation Bureau, Strategy and Development
David Whalen, Deputy Commissioner, Dutchess County Department of Public Works
Vita Dobosh, Admin Assistant, Dutchess County Department of Public Works
Michael Grattini, Director of Public Transit
Sandra Jobson, RPPM, Region 8
Lance MacMillan, Regional Director, Region 8
Mark Debald, Transportation Program Administrator, Poughkeepsie-Dutchess County Transportation Council

Public Works and Capital Projects Roll Call

District	*Name*	*Yes*	*No*
District 7 - Hyde Park and Pleasant Valley	Truitt*	✓	
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Houston*		
District 3 - LaGrange	Polasek*		
District 16 - Fishkill and Beacon	Valdés Smith*		
District 9 - City of Poughkeepsie	Atkins*		
District 1 - Town of Poughkeepsie	Gorman		
District 10 - City of Poughkeepsie	Johnson (VC)	Absent	
District 12 - East Fishkill	Metzger (C)		
District 13 - East Fishkill and Wappinger	Paoloni		
District 15 - Wappinger	Faust		
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn		
District 23 - Pawling, Beekman and East Fishkill	Rolison		

Present: 11
Absent: 1
Vacant: 0

Resolution: ✓
Motion: ____

Total : 11 Yes 0 No
Abstentions: 0

2025035 AUTHORIZING THE COUNTY EXECUTIVE TO FILE AND EXECUTE APPLICATIONS FOR 5311 GRANT FUNDS THROUGH THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION AS IT PERTAINS TO THE DEPARTMENT OF PUBLIC WORKS-DIVISION OF PUBLIC TRANSIT

MARCH 6, 2025

Roll Call Sheets

District	Last Name	YES	NO
District 7 - Hyde Park and Pleasant Valley	Truitt	✓	
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Houston	absent	
District 3 - LaGrange	Polasek		
District 16 - Fishkill and Beacon	Valdés Smith		
District 9 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Gorman		
District 2 - LaGrange, Pleasant Valley & Town of Poughkeepsie	Travelpiece		
District 4 - Hyde Park and Town of Poughkeepsie	Lawler		
District 5 - Town of Poughkeepsie	D'Aquanni		
District 6 - Town of Poughkeepsie	Kaul		
District 8 - City and Town of Poughkeepsie	Brendli		
District 10 - City of Poughkeepsie	Johnson		
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney		
District 12 - East Fishkill	Metzger		
District 13 - East Fishkill and Wappinger	Paoloni	absent	
District 14 - Wappinger and Town of Poughkeepsie	Versaci		
District 15 - Wappinger	Faust		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Fishkill	Page		
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago		
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn		
District 21 - East Fishkill	Caswell		
District 22 - Beekman and Union Vale	Garito		
District 23 - Pawling, Beekman and East Fishkill	Rolison		
District 24 - Dover and Pawling	House		

Present:	23	**Resolution:**	✓	**Total :**	23	0
Absent:	2	**Motion:**			Yes	No
Vacant:	0			**Abstentions:**	2	

2025035 AUTHORIZING THE COUNTY EXECUTIVE TO FILE AND EXECUTE APPLICATIONS FOR 5311 GRANT FUNDS THROUGH THE NEW YORK STATE DEPARTMENT OF TRANSPORTATION AS IT PERTAINS TO THE DEPARTMENT OF PUBLIC WORKS-DIVISION OF PUBLIC TRANSIT

MARCH 10, 2025